Criminal Division, Department of Justice, Washington, DC 20530.

## §3.4 Registration to be made by letter.

No special forms are prescribed for the purpose of registering under the Act. Registration shall be accomplished by a letter addressed to the Assistant Attorney General, Criminal Division, setting forth the information required by section 3(b)(4) of the Act. Registration should be made by registered or certified mail inasmuch as receipt of registrations will not otherwise be acknowledged. The registration requirement of the Act is an annual requirement. Any person engaged in any one or more of the activities for which registration is required under the Act must, in conformity with the provisions of the Act, register in each calendar year in which he engages in such activities.

## § 3.5 Forfeiture of gambling devices.

For purposes of seizure and forfeiture of gambling devices see section 8 of this chapter.

[Order No. 1128-86, 51 FR 8817, Mar. 17, 1986]

PART 4—PROCEDURE GOVERNING APPLICATIONS FOR CERTIFICATES OF EXEMPTION UNDER THE LABOR-MANAGEMENT REPORTING AND DISCLOSURE ACT OF 1959, AND THE EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974

Sec.

- 4.1 Definitions.
- 4.2 Who may apply for Certificate of Exemption.
- 4.3 Contents of application.
- 4.4 Supporting affidavit; additional information.
- 4.5 Character endorsements.
- 4.6 Institution of proceedings.
- 4.7 Notice of hearing; postponements.
- 4.8 Hearing.
- 4.9 Representation.
- 4.10 Waiver of oral hearing.
- 4.11 Appearance; testimony; cross-examination.
- 4.12 Evidence which may be excluded.
- 4.13 Record for decision. Receipt of documents comprising record; timing and extension.

- 4.14 Administrative law judge's recommended decision; exceptions thereto; oral argument before Commission.
- 4.15 Certificate of Exemption.
- 4.16 Rejection of application.
- 4.17 Availability of decisions.

AUTHORITY: Secs. 504, 606, 73 Stat. 536, 540 (29 U.S.C. 504, 526); and secs. 411, 507a, 88 Stat. 887, 894 (29 U.S.C. 1111, 1137).

CROSS REFERENCE: For Organization Statement, U.S. Parole Commission, see subpart V of part 0 of this chapter.

SOURCE: 44 FR 6890, Feb. 2, 1979, unless otherwise noted.

## § 4.1 Definitions.

As used in this part:

- (a) *Labor Act* means the Labor-Management Reporting and Disclosure Act of 1959 (73 Stat. 519).
- (b) *Pension Act* means the Employee Retirement Income Security Act of 1974 (Pub. L. 93–406) (88 Stat. 829).
- (c) Acts means both of the above statutes.
- (d) Commission means the United States Parole Commission.
- (e) Secretary means the Secretary of Labor or his designee.
- (f) For proceedings under the "Labor Act"
- (1) Employer means the labor organization, or person engaged in an industry or activity affecting commerce, or group or association of employers dealing with any labor organization, which an applicant under §4.2 desires to serve in a capacity for which he is ineligible under section 504(a) of the "Labor Act".
- (2) All other terms used in this part shall have the same meaning as identical or comparable terms when those terms are used in the "Labor Act".
- (g) For proceedings under the "Pension Act"
- (1) Employer means the employee benefit plan with which an applicant under §4.2 desires to serve in a capacity for which he is ineligible under section 411(a) of the "Pension Act" (29 U.S.C. section 1111).
- (2) All other terms used in this part shall have the same meaning as identical or comparable terms when those terms are used in the "Pension Act".